Thu

Docket No.: OHK-0008 (PATENT)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hiroshi KANAI et al.

Application No. 10/540,578

Filed: June 24, 2005

Art Uni

For: GASKET FOR COMPRESSOR

Confirmation No. 6450

Art Unit: 3746

REQUEST FOR CORRECTED FILING RECEIPT

Attention:

PCT Section

Customer Service Center

Commissioner for Patents P.O. Box 1450 Washington, D.C. 22313-1450

Sir:

- 1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- 2. There is an error with respect to the following, which is incorrectly entered. We are also enclosing a copy of the executed declaration showing the correct data.

Error:

Correct data to add Applicant as shown:

1. Applicant(s)

- Hiroshi Kanai, Saitama, JAPAN; Shunichi Furuya, Saitama, JAPAN; Osamu Takazawa, Saitama, JAPAN; Sakae Hayashi, Saitama, JAPAN
- 3. The correction is due to an error by the USPTO. If any fee is due, please charge Deposit Account No. 18-0013.

Dated: March 31, 2006

Christopher J. Wickstrom Registration No.: 57,199

Rader, Fishman & Grauer, PLLC 1233 20th Street, N.W., Suite 501 Washington, DC 20036 (202) 955-3760

Customer No.: 23353



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/5/0 578	06/24/2005	3746	900	OHK-0008	8	10	2

CONFIRMATION NO. 6450

FILING RECEIPT

OC00000018233384

23353 RADER FISHMAN & GRAUER PLLC LION BUILDING 1233 20TH STREET N.W., SUITE 501 WASHINGTON, DC 20036

Date Mailed: 03/10/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hiroshi Kanai, Saitama, JAPAN; Shunichi Furuya, Saitama, JAPAN; Osamu Takazawa, Saitama, JAPAN;

Assignment For Published Patent Application

ZEXEL VALEO CLIMATE CONTROL CORPORATION, Osato-gun, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 23353.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/14429 11/13/2003

Foreign Applications

JAPAN 2002-376860 12/26/2002

Projected Publication Date: 06/15/2006

Non-Publication Request: No

Early Publication Request: No





Preliminary Class

417

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof

unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Please type a plus sign in this box:

Approved for use through 6/30/98. OMB 0651-0032 Patent and Trademark Office; US DEPARTMENT OF COMMERCE

are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction DECLARATION Attorney Docket No. UTILITY OR DESIGN First Named Inventor Hiroshi KANAI PATENT APPLICATION COMPLETE IF KNOWN Application No. Filing Date □ Declaration Declaration submitted with or submitted after Group Art Unit initial filing initial filing Examiner Name As a below named inventor, I hereby declare that: My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (only if one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: GASKET FOR COMPRESSOR (Title of the Invention) the specification of which is attached hereto M was filed on _13.11.2003_, as United States Application Number or PCT International Application Number: PCT/JP2003/014429 and was amended on 06.08.2004 (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56. I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed. Prior Foreign Application Number(s) Foreign Filing Date Соилтгу Priority Certified Copy Attached (MM/DD/YY) Claimed 2002-376860 Japan 12/26/2002 YES Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto: I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below. Application Number (s) Filing Date (MM/DD/YY) Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

PTO/SB/01 (3-97)
Approved for use through 6/30/98. OMB 0651-0032
Patent and Trademark Office; US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION - Utility Or Design Patent Application													
I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the matter provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.													
U.S Parent Application PCT Parent					Par	ent Filing	Date	P	arent Patent	Nur	nber		
Number		Number				M/DD/YŸ		(if applicable)					
PCT/JP2003/014429						11/13/200							
Additional U.S. or PCT international application numbers are listed						lemental priori	ty data sheet	PTO/S	B/02B attached her	eto.			
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and													
Trademark Office connected therewith:													
and Registered practitioner(s) name/registration number listed below Number Bar Code Label Here													
Name		Registi	ration No.		Name				Registratio	on No	о.		
Richard D. Grauer		22	2,388	Ke	vin D. R	tutherford		40,412					
David T. Nikaido			2,663	Gl	enn E. F	nn E. Forbis			40,610				
Ronald P. Kananen		24	•			ristin L. Murphy			41,21	2			
Ralph T. Rader			8,772		latthew J. Russo				41,28				
Carl Schaukowitch 29,211 Robert S. Green 41,800								•					
Michael D. Fishman			1,951		nes F. K				41,88				
Joseph V. Coppola, Sr.		3,373		vid K. E				42,31					
Michael B. Stewart			,			Brian K. Dutton			47,255				
Alexander D. Rabinovich 37,425 Shawn B. Cage 51,522													
Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.													
Direct all correspondence to Customer Number or Bar Code Label							low						
Name	RADER, FIS	SHMAN, C	Grauer P	LLC	:								
Address	1233 20 th S	street, N.	.W., Suite	501									
City, State, Zip	Washingto	on, D.C.	20036										
1 00	US		elephone			5-3750	Fax						
I hereby declare that all statemen													
statements were made with the k United States Code and that such									h, under Section 1001	of Title	t 18 of the		
Name of Sole or F									gned invento)T			
				701717	OH Hac			-					
Given Name (first and middle [if any])					Family Name or Surname KANAI								
Hiroshi					KAIVAI								
Inventor's Signature					, E				Dated				
		企中			Fu			19.5.2005					
Residence: City	esidence: City Saitama State			Country		Japa	oan Citizenship			Japan			
Post Office Address c/o ZEXEL VALEO CLIMATE CONTROL CORPORATION													
3,0 ====================================										ro			
39, AZA HIGASHIHARA, OAZA SENDAI, KONAN-MACHI, OSAT GUN, SAITAMA 360-0193, JAPAN							· U-						
	GUN,	SAITA	T- T	019	<u> 3, JAI</u>				,				
City	Sait	ama	State			Zip	360-01	193	Country	J	apan		
Additional inventors	are being nan	ed on the 1	l supplemen	tal ad	ditional	inventor(s) sl	heet(s) PTC)/SB/0	2A attached heret	o.			

PTO/SB/02A (3-97)

Approved for use through 6/30/98. OMB 0651-0032
Patent and Trademark Office; US DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

+

Name of Inventor	☐ A ₁	A petition has been filed for this unsigned inventor											
Given Name (f	ny])	y]) Family Name or Surname											
Shunichi				FURUYA									
Inventor's Signature	Residence: City Saitama State				Dated								
	Thun	ivu	owefor 19. May					2005					
Residence: City	Saitama	State			Countr	ntry Japa		Citizenship		Japan			
Post Office Address	c/o ZEXEL V	ALEO (CLIM	IATE (CONTRO	L C	CORPORA	TION					
	39, AZA HIG	ASHIH	ARA	A, OAZA SENDAI, KONAN-MACHI, OSATO-									
	GUN, SAITAMA 360-0193, JAPAN												
City	Saitama	State			Zip	36	60-0193	Country	J	apan			
Name of Inventor		□ A p	A petition has been filed for this unsigned inventor										
Given Name (fi	 ny])												
Osamu				TAKAZAWA									
Inventor's Signature	11	Dated Dated											
	Dated Okamu Takayawa May 24. 200 Saitama State Country Japan Citizenship J								005				
Residence: City	Saitama	State			Countr	Country Jar		apan Citizenship		Japan			
Post Office Address	ffice Address c/o ZEXEL VALEC				EO CLIMATE CONTROL CORPORATION								
	39, AZA HIGASHIHARA, OAZA SENDAI, KONAN-MACHI, OSATO-								TO-				
	GUN, SAITA					·		·					
City	Saitama	State			Zip	36	60-0193	Country	Japan				
Name of Inventor													
Given Name (fi	ny])	[7]) Family Name or Surname											
Sakae				HAYASHI									
Inventor's Signature	17	∧ ✓ Dated											
	4 宋				May 16,2005								
Residence: City	Saitama	State			Country			Citizenship		Japan			
Post Office Address	ffice Address c/o ZEXEL VALEO CLIMATE CONTROL CORPORATION												
39, AZA HIGASHIHARA, OAZA SENDAI, KONAN-MACHI, OSATO-													
	GUN, SAITAI					,		= · · · • • • • • • • • • • • • • • • •					
City		State			Zip		0-0193	Country	Japan				